

THIS CERTIFIES THAT

Global Water Technology, Inc.



* Nationally certified by the: **CHICAGO MINORITY SUPPLIER DEVELOPMENT COUNCIL**

*NAICS Code(s): 423850; 424690; 561210; 541690; 541620

* Description of their product/services as defined by the North American Industry Classification System (NAICS)

06/30/2017

Issued Date

CH06777

Certificate Number

A handwritten signature in black ink, reading "Joset Wright-Lacy".

Joset B. Wright-Lacy

A handwritten signature in black ink, reading "Shelia C. Morgan".

Shelia C. Morgan

06/30/2018

Expiration Date

By using your password (NMSDC issued only), authorized users may log into NMSDC Central to view the entire profile: <http://nmsdc.org>

Certify, Develop, Connect, Advocate.

* MBEs certified by an Affiliate of the National Minority Supplier Development Council, Inc.®



March 27, 2017

Michael A Byerley
Global Water Technology, Inc.
14604 John Humphrey Dr.
Orland Park, IL 60462

Dear Business Owner:

Re: **NCA Certification Approval** Minority Business Enterprise (MBE)
Certification Term Expires: April 8, 2018

Congratulations! After reviewing the No-Change Affidavit (NCA) information you supplied, we are pleased to inform you that your firm has been granted continued certification under the Business Enterprise Program (BEP) for Minorities, Females and Persons with Disabilities.

Please be advised, while this certification does not guarantee you will receive a State contract, it does assure your firm the opportunity to participate in the State's procurement process. Your firm's participation on State contracts will be credited only toward Minority Business Enterprise (MBE) goal in your area(s) of specialty. Your firm's name will appear in the State's Directory as a certified vendor with the BEP in the specialty area(s) of:

NIGP 03143: FILTER COATING, ADHESIVE, COIL CLEANERS, DEGREASING SOLVENTS, ETC.

NIGP 75095: WHITE (HYDRATED) LIME (SEE 150-12 FOR BAGGED LIME)

NIGP 77590: WATER SOFTENER SALT

NIGP 88532: BOILER WATER CHEMICAL TREATING COMPOUNDS

NIGP 98991: WATER SAMPLING AND ANALYSIS SERVICES

Please visit our website at www.sell2.illinois.gov to obtain information about current and upcoming procurement opportunities, contracts, forms, and also to register to receive email alerts when the State is preparing to purchase a product or service that you may provide.

Thank you for your participation in the BEP. We welcome your participation and wish you continued success.

Sincerely,

Carlos Gutiérrez
Certification Manager
Business Enterprise Program



DEPARTMENT OF PROCUREMENT SERVICES
CITY OF CHICAGO

JAN 20 2017

Michael Anthony Byerley
Global Water Technology, Inc.
14604 John Humphrey Dr.
Orland Park, IL 60462

Dear Michael Byerley:

We are pleased to inform you that **Global Water Technology, Inc.** has been recertified as a **Minority-Owned Business Enterprise ("MBE")** by the City of Chicago ("City"). This **(MBE)** certification is valid until **1/1/2022**; however your firm's certification must be revalidated annually. In the past the City has provided you with an annual letter confirming your certification; such letters will no longer be issued. As a consequence, we require you to be even more diligent in filing your **annual No-Change Affidavit 60 days** before your annual anniversary date.

It is now your responsibility to check the City's certification directory and verify your certification status. As a condition of continued certification during the five year period stated above, you must file an annual No-Change Affidavit. Your firm's **annual No-Change Affidavit** is due by **1/1/2018, 1/1/2019, 1/1/2020, and 1/1/2021**. Please remember, you have an affirmative duty to file your **No-Change Affidavit 60 days** prior to the date of expiration. Failure to file your annual No-Change Affidavit may result in the suspension or rescission of your certification.

Your firm's five year certification will expire on **1/1/2022**. You have an affirmative duty to file for recertification **60 days** prior to the date of the five year anniversary date. Therefore, you must file for recertification by **11/1/2021**.

It is important to note that you also have an ongoing affirmative duty to notify the City of any changes in ownership or control of your firm, or any other fact affecting your firm's eligibility for certification **within 10 days** of such change. These changes may include but are not limited to a change of address, change of business structure, change in ownership or ownership structure, change of business operations, gross receipts and or personal net worth that exceed the program threshold. Failure to provide the City with timely notice of such changes may result in the suspension or rescission of your certification. In addition, you may be liable for civil penalties under Chapter 1-22, "False Claims", of the Municipal Code of Chicago.

Please note – you shall be deemed to have had your certification lapse and will be ineligible to participate as a **(MBE)** if you fail to:

- File your annual No-Change Affidavit within the required time period;
- Provide financial or other records requested pursuant to an audit within the required time period;

121 NORTH LASALLE STREET, ROOM 806, CHICAGO ILLINOIS 60602

- Notify the City of any changes affecting your firm's certification **within 10 days** of such change; or
- File your recertification within the required time period.

Please be reminded of your contractual obligation to cooperate with the City with respect to any reviews, audits or investigation of its contracts and affirmative action programs. We strongly encourage you to assist us in maintaining the integrity of our programs by reporting instances or suspicions of fraud or abuse to the **City's Inspector General at chicagoinspectorgeneral.org, or 866-IG-TIPLINE (866-448-4754).**

Be advised that if you or your firm is found to be involved in certification, bidding and/or contractual fraud or abuse, the City will pursue decertification and debarment. In addition to any other penalty imposed by law, any person who knowingly obtains, or knowingly assists another in obtaining a contract with the City by falsely representing the individual or entity, or the individual or entity assisted is guilty of a misdemeanor, punishable by incarceration in the county jail for a period not to exceed six months, or a fine of not less than \$5,000 and not more than \$10,000 or both.

Your firm's name will be listed in the City's Directory of Minority and Women-Owned Business Enterprises in the specialty area(s) of:

NAICS Code(s):

- 423850 - Service Establishment Equipment and Supplies Merchant Wholesalers**
- 423850 - Water treatment equipment, municipal, merchant wholesalers**
- 424690 - Chemicals (except agriculture) (e.g., automotive, household, industrial, photographic) merchant wholesalers**
- 541690 - Biological consulting services**
- 541690 - Chemical consulting services**
- 541690 - Safety consulting services**
- 561210 - Facilities (except computer operation) support services**
- 541620 - Environmental consulting services**

Your firm's participation on City contracts will be credited only toward **Minority-Owned Business Enterprise** goals in your area(s) specialty. While your participation on City contracts is not limited to your area of specialty, credit toward goals will be given only for work that is self-performed and providing a commercially useful function that is done in the approved specialty category.

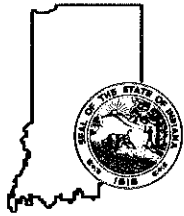
Thank you for your interest in the City's Minority and Women-Owned Business Enterprise (MBE/WBE) Program.

Sincerely,



Rich Butler
First Deputy Procurement Officer
RB/lj





STATE OF INDIANA

Eric J. Holcomb, Governor

DEPARTMENT OF ADMINISTRATION
Division of Supplier Diversity

Indiana Government Center South
402 West Washington Street, Room W469
Indianapolis, IN 46204
(317) 232 - 3061

January 20, 2017

Michael Byerley
Global Water Technology, Inc.
14604 John Humphrey Drive
Orland Park, IL 60462

Subject: Application for MBE certification

Dear Mr. Byerley:

Congratulations! The Indiana Department of Administration, Division of Supplier Diversity is pleased to inform you that **Global Water Technology, Inc.** is hereby certified as a Minority Business Enterprise (MBE).

Your company provides a commercially useful function in the areas listed below. Only work performed in these areas will be counted towards Minority Business Enterprise participation:

UNSPSC CODES(S)

<i>Code</i>	<i>Description</i>
60104201	Water testing chemicals
76121702	Chemical treatment services
81141800	Facilities management
83101506	Water treatment services

On September 13, 2010, the Governor's Commission on Minority and Women's Business Enterprises approved the department's effort to streamline its recertification process. We have approved your recertification and it is valid through **January 31, 2020**. Please note that IDOA continues to reserve the right to request a site visit or phone interview at any time to certified companies.

Although your certification is valid for a three-year period, you are required to submit an annual **Affidavit of Continued Eligibility (ACE)** form, located at www.in.gov/idoa/mwbe/files/ACE_Form.pdf. ***We do not send reminder letters, so it is your responsibility to timely submit the ACE form.*** Please remember you must notify us immediately if any changes occur. Failure to notify us of changes or to provide the ACE form annually will result in revocation of your certification. Changes include, but are not limited to, changes in location, contact information, ownership or control.

RE: Global Water Technology, Inc.

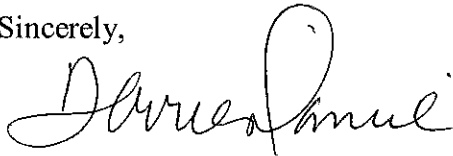
We encourage you to visit IDOA's procurement website, www.in.gov/idoa/2493.htm, and update your Business Registration Profile. It is important that you review and update your profile regularly, because state purchasing agents and prime contractors may use this information to contact you for business opportunities. For questions regarding your registration profile, you may contact our office at 317-232-3061.

Please note that your Bidder Registration Number (BRN) also serves as your certification number.

We ask that you please contact Amy Wolf Director of Certification at 317-232-3061 or awolf@idoa.in.gov for any questions or concerns about your letter.

While this letter serves as notification of certification, it does not serve to prove continued eligibility. Please visit www.in.gov/idoa/files/certifications_list.xls to verify certification status.

Sincerely,

A handwritten signature in black ink, appearing to read "Terrie F. Daniel". The signature is fluid and cursive, with a large loop at the end of the last name.

Terrie F. Daniel, Deputy Commissioner
Indiana Department of Administration
Division of Supplier Diversity

TFD:alw